Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
TITION FOR REVIVAL OF AN APPLICATION FOR DEPARTMENT OF COMMERCE
TITION FOR REVIVAL OF AN APPLICATION FOR DEPARTMENT OF COMMERCE

OF COMMERCE

TO STATE OF COMMERCE

Department of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	NED UNINTENTIONALLY U		161/107
First named	inventor: Nikolay Nikolayev		
Application	No.: 10/722,779	Art Unit: 3652	
Filed: Novem	ber 26, 2003	Examiner: Not Ye	t Assigned
Title: DATA G	ENERATING DEVICE FOR BULK VENDING	G MACHINES	
Mail Stop P	office of Petitions Petition ner for Patents		·
P.O. Box 14	I50 VA 22313-1450		
	NOTE: If information or assistance Information at (703) 305-92	e is needed in completing this form, p 282.	lease contact Petitions
action by th	e United States Patent and Tradem	ndoned for failure to file a timely an ark Office. The date of abandonment se or action plus an extensions of time	t is the day after the expiration
	APPLICANT HEREBY PETI	TIONS FOR REVIVAL OF THIS APP	PLICATION
	NOTE: A grantable petition require (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer wit filed before June 8, 199 (4) Statement that the entit	h disclaimer fee - required for all utilit 95; and for all design applications; an	y and plant applications d
	ee all entity-fee \$ 750.00 (37 CFR 1 er than small entity – fee \$	.17(m)). Applicant claims small entity (37 CFR 1.17(m))	status. See 37 CFR 1.27.
2. Reply an		(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
А.	The reply and/or fee to the above-	noted Office action in hissing Parts (identi	fy type of reply):
	is enclosed herewith.	n	
В.	The issue fee and publication fee has been paid previously on is enclosed herewith.	(if applicable) of \$	•

[Page 1 of 2] [Page 1 of 2]
This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

03/07/2005 MRHMED1 00000055 10722779

PTO/SB/64 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE deduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

3. 7	erminal disclaimer with disclaimer fee					
[	Since this utility/plant application was filed o	n or after June 8, 1995	i, no terminal disclaimer is required.			
[	A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).					
f	STATEMENT: The entire delay in filing the requiriling of a grantable petition under 37 CFR 1.137( Trademark Office may require additional informate abandonment or the delay in filing a petition under the delay in filing the requirement of the delay in filing a petition under the delay in filing	b) was unintentional. [ tion if there is a question er 37 CFR 1.137(b) wa	NOTE: The United States Patent and on as to whether either the is unintentional (MPEP 711.03(c),			
	WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
			March 2, 2005			
	Signature		Date			
			24.000			
	Michael R. Gilman Typed or printed name		34,826  Registration Number, if applicable			
	<b>.</b> . ,		, , , , , , , , , , , , , , , , , , , ,			
	Kaplan and Gilman, LLP, 900 Route 9 North, Woo	dbridge, NJ 07095	(732) 634-7634			
	Address		Telephone Number			
Address						
Enclosures: 🗸 Fee Payment						
	Reply					
	Terminal Disclaimer Form					
	Additional sheets containing sta	tements establishing ι	nintentional delay			
Other: Exhibits A-D						
	Other. Exhibits A-D					
	CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]					
	I hereby certify that this correspondence is being:  Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.					
	Transmitted by facsimile on the date Office as (703) 872-9306.	shown below to the U	nited States Patent and Trademark			
	March 2, 2005					
	Date		Signature			
		N	fichael R. Gilman			
			ame of person signing certificate			



# 03/07/2005 MAHKED1 00000055 10722779



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Nikolay Nikolayev

Title of Invention : DATA GENERATING DEVICE FOR BULK

**VENDING MACHINES** 

Date Filed : November 26, 2003

Serial No. : 10/722,779

Attorney Docket No. : 161/107

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# PETITION UNDER 37 C.F.R. §1.47(B) WHEN INVENTOR REFUSES TO JOIN IN APPLICATION

SIR:

This petition is being submitted pursuant to Rule 47(b), 37 C.F.R. 1.47(b), based upon the refusal of the only inventor, Nikolay Nikolayev, of Patent Application Serial No. 10/722,779 to join in the application.

Inventor Nikolay Nikolayev's last known residence is 84-10 34<sup>th</sup> Avenue, Flushing, NY 11372.

Inventor Nikolay Nikolayev has been properly notified regarding the application, and has been sent at least one copy of the application for his review. The extensive correspondence between the Rule 1.47(b) applicant, the inventor and counsel for the Rule 1.47(b) applicant make clear that Mr. Nikolayev understands exactly what he is being asked to sign.

On March 11, 2004, an assignment document and a Combined Declaration and Power of Attorney document were mailed to Mr. Nikolayev at the business address of Nova Resolution Industries, Inc. A copy of the letter and attachments are attached hereto as Exhibit A. On March

15, 2004, an electronic transmission including a message and attachments of the patent application document, the Declaration and Power of Attorney document, and the assignment document was sent to Mr. Nikolayev. A copy of the message and attached Declaration/Power of Attorney and assignment documents forming part of the electronic transmission of March 15, 2004 are attached hereto as Exhibit B.

On March 16, 2004, Mr. Nikolayev sent an email to Michael Gilman, below signed counsel for the Rule 1.47(b) applicant, acknowledging receiving the patent application documents but requesting that 17 drawings forming part of the application also be sent. The content of an email message from Michael Gilman to Mr. Nikolayev preceding the message from Mr. Nikolayev is shown on the same page as Mr. Nikolayev's acknowledgement. On March 16, 2004, the 17 figures were faxed to Mr. Nikolayev. On March 18, 2004, Mr. Nikolayev sent another email to attorney Michael Gilman acknowledging receipt of the drawings. This chain of email correspondence is attached hereto as Exhibit C. Based on the foregoing, it is clear that Mr. Nikolayev received a copy of the patent application in addition to the formal documents associated with this patent application.

Beginning in about May, 2004, Mr. Nikolayev continued to refuse to sign the Declaration and Power of Attorney based upon his desire to have the assignment of rights to the application modified. These negotiations dragged on through the end of the year of 2004 with Mr. Nikolayev simply refusing to address the issue despite the efforts of the Rule 1.47(b) applicant and concessions by the same.

As shown by the foregoing, a diligent effort has been made to have Mr. Nikolayev sign the Declaration for this application, but to no avail.

Since the foregoing indicates that Mr. Nikolayev understands exactly what he is being asked to sign, Mr. Nikolayev's refusal to sign the application papers constitutes refusal to join in the application. Mr. Nikolayev's reasons for refusing to join in the application are not known.

### PROOF OF PROPRIETARY INTEREST:

The Rule 1.47(b) applicant herein and the inventor, Mr. Nikolayev, entered into a Settlement and Dissolution Agreement requiring Mr. Nikolayev to "assign and/or otherwise transfer over to Nova any and all rights Nikolayev may have in any invention developed by Nikolayev" during his employment with Nova. A redacted version of the Settlement and Dissolution Agreement is attached hereto as **Exhibit D**, showing the pertinent language and signatures. While the filing date of this application is after Mr. Nikolayev's employment with Rule 1.47(b) applicant, the inventions described and claimed therein were invented by Mr. Nikolayev during his employment, and are therefore covered by the language of the Settlement and Dissolution Agreement, divesting Mr. Nikolayev of any rights to the invention and therefore, by implication, also requiring him to execute documents to effectuate the filing of the application.

# PROOF OF NEED TO PRESERVE RIGHTS AND OF IRREPARABLE DAMAGE:

A Notice to File Missing Parts of Nonprovisional Application was mailed by the Patent Office on February 2, 2004. As seen above, the Rule 1.47(b) applicant tried diligently for months starting in March, 2004 to have the Declaration of inventorship signed by the inventor, Mr. Nikolayev, but to no avail. Accordingly, the application was officially abandoned on August 3, 2004. Nevertheless, even after abandonment, the Rule 1.47(b) applicant continued to try to have Mr. Nikolayev sign the inventorship documents, these efforts having not been finally abandoned until the end of January, 2005.

Official notice of the abandonment of the application was mailed by the Patent Office on December 2, 2004, and this Petition is being filed as diligently as possible after the breakdown in the negotiations between the Rule 1.47(b) applicant and the inventor, with some delay being the result of

applicant's undersigned attorney being unavailable to work on the matter due to other pressing legal matters and a vacation in February, 2005.

In order to preserve the Rule 1.47(b) applicant's rights in the subject application, this Petition is now necessary and is deemed the only way to have the application revived pursuant to the contemporaneously filed Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b).

### FEES:

This Petition is accompanied by a check in the amount of \$1,015 to cover: 1) \$65 for the late filing fee for the oath or declaration surcharge; 2) \$200 for the Rule 1.47(b) petition (under Rule 1.17(g)); and 3) \$750 for the Rule 1.137(b) Petition (under Rule 1.17(m)). The Commissioner is authorized to charge any deficiencies in these fees, or credit any overpayment, to our Deposit Account No. 11-0223.

Respectfully submitted,

KAPLAN & GILMAN, L.L.P. 900 Route 9 North Woodbridge, NJ 07095 Telephone (732) 634-7634

DATED: March 2, 2005

Michael R. Gilman Reg. No. 34,826

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal service as first class mail, in a postage prepaid envelope, addressed to Mail Stop Petition, Compissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450 on this 2<sup>nd</sup> day of March, 2005.

Dated March 2, 2005

Print Name Michael R. Gilman